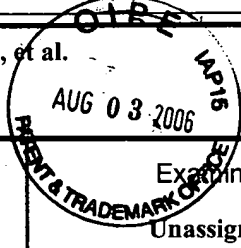


JFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
16803

In Re Application Of: **Hiroaki Ueda, et al.**



Application No.

10/614,422

Filing Date

July 7, 2003

Examiner

Unassigned

Customer No.

23389

Group Art Unit

2614

Confirmation No.

7447

Title:

**VIDEO IMAGE DATA COMPRESSION ARCHIVER AND METHOD FOR VIDEO IMAGE
 DATA COMPRESSION**

Address to:

**Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
16803

In Re Application of: **Hiroaki Ueda, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/614,422	July 7, 2003	Unassigned	23389	2614	7447

Title:

VIDEO IMAGE DATA COMPRESSION ARCHIVER AND METHOD FOR VIDEO IMAGE DATA COMPRESSION

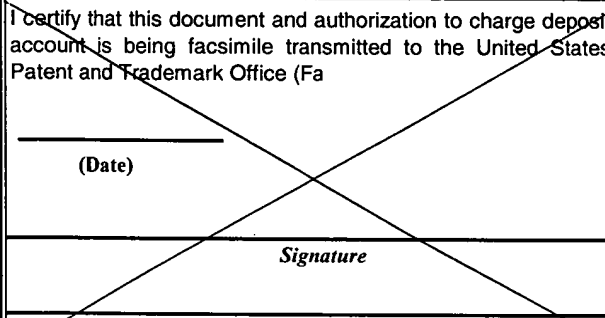
Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

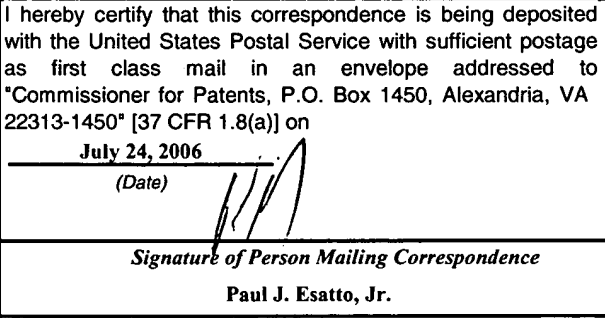
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa	
_____ (Date)	
_____ Signature	
_____ Typed or Printed Name of Person Signing Certificate	

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on	
_____ July 24, 2006 (Date)	
_____ Signature of Person Mailing Correspondence	
_____ Typed or Printed Name of Person Mailing Certificate	

*This certificate may only be used if paying by deposit account.



Signature

Dated: **July 24, 2006**

Paul J. Esatto, Jr.
Registration No. 30,749
Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
516-742-4343

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroaki Ueda, et al. **Examiner:** Unassigned
Serial No.: 10/614,422 **Art Unit:** 2614
Filed: July 7, 2003 **Docket:** 16803
For: VIDEO IMAGE DATA COMPRESSION **Dated:** July 24, 2006
ARCHIVER AND METHOD FOR VIDEO
IMAGE DATA COMPRESSION

Confirmation No.: 7447

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)

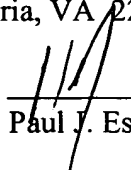
Sir:

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 24, 2006



Paul J. Esatto, Jr.

Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. §1.704.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', with a stylized flourish at the end.

Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343

PJE:dg



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroaki Ueda, et al. **Examiner:** Unassigned
Serial No.: 10/614,422 **Art Unit:** 2614
Filed: July 7, 2003 **Docket:** 16803
For: VIDEO IMAGE DATA COMPRESSION **Dated:** July 24, 2006
ARCHIVER AND METHOD FOR VIDEO
IMAGE DATA COMPRESSION

Confirmation No.: 7447

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. 11-39846 dated February 12, 1999;
2. Japanese Unexamined Patent Application No. 2002-171487, dated June 14, 2002; and
3. Japanese Unexamined Patent Application No. 2000-050186, dated February 18, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 24, 2006



Paul J. Esatto, Jr.


The references were cited in an Office Action dated June 23, 2006 received from the Japanese Patent Office. Applicants are submitting a copy of the above-cited references, the Office Action, and an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Further, inasmuch as this Information Disclosure Statement is also being submitted in accordance with the schedule set out in 37 C.F.R. § 1.704(d), a statement is attached.

Respectfully submitted,

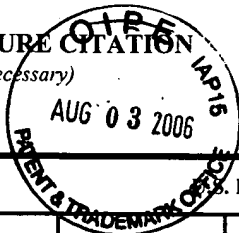
A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', written over the typed name.

Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343

PJE:dg

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)



Docket Number (Optional) 16803	Application Number 10/614,422
Applicant(s) Hiroaki Ueda, et al.	
Filing Date July 7, 2003	Group Art Unit 2614

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		11-39846	2/12/99	Japan				✓
		2002-171487	6/14/02	Japan				✓
		2000-050186	2/18/00	Japan				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.